



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/657,189	09/09/2003	Joseph E. Legare	Legare-PAUS0003	1625
58937	7590	05/17/2007	EXAMINER	
WOLFF LAW OFFICE, PLLC P.O. BOX 9855 CHAPEL HILL, NC 27515-9855			TRAN, DIEM T	
		ART UNIT	PAPER NUMBER	
		3748		
		MAIL DATE	DELIVERY MODE	
		05/17/2007	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	Application No.	Applicant(s)
	10/657,189	LEGARE, JOSEPH E.
Examiner Diem Tran	Art Unit 3748	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on 20 February 2007.  
 2a) This action is FINAL.                    2b) This action is non-final.  
 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1-51 is/are pending in the application.  
 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.  
 5) Claim(s) \_\_\_\_\_ is/are allowed.  
 6) Claim(s) 1-9, 11-16, 18-20, 24-33, 36, 38-40, 42-46, 50 and 51 is/are rejected.  
 7) Claim(s) 10, 17, 21-23, 34, 35, 37, 41 and 47-49 is/are objected to.  
 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.  
 10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  
 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
 a) All    b) Some \* c) None of:  
     1. Certified copies of the priority documents have been received.  
     2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
     3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                 | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                        | Paper No(s)/Mail Date: _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date: _____ | 5) <input type="checkbox"/> Notice of Informal Patent Application |
|   | 6) <input type="checkbox"/> Other: _____                          |

***DETAILED ACTION***

This office action is in response to the amendment filed on 2/20/07. In this amendment, claims 1, 20, 24, 28, 29, 32, 36, 38, 43, 44, 51 have been amended. Overall, claims 1-51 are pending in this application.

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

***Claim 50 is rejected under 35 U.S.C. 102(b) as being anticipated by Yamashita et al.***

***(US Patent 5, 727,383).***

Yamashita discloses a method for diagnosing a condition of a catalyst while compensating for specified engine power changes, comprising the steps of providing a device for electrically controlling engine airflow changes; controlling said device for compensating adverse changes in cylinder intake airflow by use of specified conditions stored in memory, and diagnosing the condition of the catalyst (see col. 10, lines 1 +).

***Claim 51 is rejected under 35 U.S.C. 102(b) as being anticipated by Pelters et al. (US Patent 5,435,172).***

Pelters discloses a method of diagnosing a catalyst and reducing undesired gas emissions from the catalyst coupled to an internal combustion engine and a temperature sensor coupled to the catalyst by, including the steps of:

monitoring the catalyst temperature with the temperature sensor (12); changing at least one operating parameter of the engine to cause the temperature of the catalyst to rapidly rise when the chemical exothermic activity has started in the catalyst and engine operational conditions preclude establishing stoichiometric closed loop fuel control operation; and changing at least one operating parameter of the engine to achieve said stoichiometric in exhaust gases when the catalyst has reached a temperature needed to establish stoichiometric engine operation (see col. 2, lines 10+, col. 4, lines 18+).

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

***Claims 1, 2, 7-9, 11-16, 18, 20, 29-31, 36, 38-40, 43-46 are rejected under 35 U.S.C. 103(a) as being unpatentable over Maus et al. (US Patent 5,610,844) in view of Pelters et al. (US Patent 5,435,172).***

Regarding claims 1, 7, 9, 13, 14, 18, 20, 29-30, 36, 38-40, 43-46, Maus discloses a method of diagnosing a catalysts including the steps of:

Art Unit: 3748

detecting at least one parameter indicating operating conditions are sufficient for producing reliable diagnostic test results (see col. 7, lines 61-67, col. 8, lines 1-10); determining a first temperature characteristic of the catalyst; controlling a change in gas concentrations entering the catalyst so as to provide chemical conditions sufficient for generating the catalyst temperature above the first temperature; determining a second temperature characteristic of the catalyst; and comparing changes between first temperature characteristic and the second temperature characteristic to determine whether the catalyst is malfunctioning (see col. 3, lines 18-35); however, fails to disclose changing of conditions of selected individual cylinders to determine whether the catalyst is malfunctioning. Pelters teaches changing of conditions of selected individual cylinders to determine whether the catalyst is malfunctioning (see col. 2, lines 45-50, 55-66).

It would have been obvious to one having ordinary skill in the art at the time the invention was made, to have utilized the teaching of Pelters in the Maus for more efficient controlling the air fuel ratio to judge a condition of the catalyst.

Regarding claims 2, 31, Maus further discloses that the steps of determining a first derivative and a second derivative for the first and second temperature characteristic; and determining if the catalyst is malfunctioning by using at least one of the first derivative and the second derivative (see col. 3, lines 36-50).

Regarding claim 8, Maus further discloses that a design of a catalytic converter that includes the catalyst is selected such that the step of comparing changes between the said first and the second temperature characteristics provide consistent and discernable differences between when the comparing is made using at least one reference catalytic converter known to

be malfunction and at least one reference catalytic converter that is known to be marginally good (see col. 5, lines 8-18).

Regarding claims 11, 12, Maus further discloses that the air-fuel ratio characteristics of the gases' entering said catalyst are controlled to have frequency and magnitude characteristics selected so as to minimize perceptible changes in engine vibration (see col. 4, lines 5-20).

Regarding claims 15, 16, Maus further discloses that said change in gas concentrations entering said catalyst is selected to compensate for catalyst deterioration so as to minimize toxic air pollutants emitted from the vehicle's tailpipe (see col. 6, lines 1-13).

*Claims 24-26, 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Fujimoto et al. (US Patent 5,591,905) in view of Pelters et al. (US Patent 5,435,172).*

Regarding claims 24-26, 28, Fujimoto discloses a method of early cycling an oxygen sensor's output prior to stoichiometric closes loop fuel control operation to allow modifying the diagnosis of a catalyst's condition based upon cold start conditions, including the steps of:

monitoring engine exhaust gases with an oxygen sensor, detecting at least one parameter indicating engine operation outside a stoichiometric control range after a cold start; enabling a change in fuel quantity such quantity estimated to cause a defined oxygen sensor output change; modifying said quantity estimated for said change in fuel until causing a defined change in said oxygen sensor's output; and after repeating the steps for enabling a change and modifying the quantity so as to cause repetitive cycling of the oxygen sensor output about a defined threshold until at least one engine parameter reaches a predetermined value (see col. 9, lines 1+, col. 10, lines 1+); however, fails to disclose changing of conditions of selected individual cylinders to

Art Unit: 3748

determine whether the catalyst is malfunctioning. Pelters teaches changing of conditions of selected individual cylinders to determine whether the catalyst is malfunctioning (see col. 2, lines 45-50, 55-66).

It would have been obvious to one having ordinary skill in the art at the time the invention was made, to have utilized the teaching of Pelters in the Fujimoto for more efficient controlling the air fuel ratio to judge a condition of the catalyst.

*Claims 3-6 are rejected under 35 U.S.C. 103(a) as being unpatentable over Maus et al. (US patent 5,610,844) in view of Pelters et al. (US Patent 5,435,172) as applied to claim 1 above, and further in view of Holl (US Patent 3, 785,151).*

Regarding claims 3, 4, 6, the modified Maus method discloses all the claimed limitations as discussed in claim 1 above, however, fails to disclose controlling a change in exhaust gas concentrations includes controlling a quantity of residual exhaust gas entering an engine's cylinder so as to modify concentrations of nitrogen oxides gases at the catalyst and assists determining whether the operation of said catalyst is malfunctioning based upon changes in temperature from the first and second temperature characteristics. Holl teaches that it is conventional in the art, to control a change in exhaust gas concentrations includes controlling a quantity of residual exhaust gas entering an engine's cylinder so as to modify concentrations of nitrogen oxides gases at the catalyst (see col. 1, lines 42-50).

It would have been obvious to one having ordinary skill in the art at the time the invention was made, to have utilized the teaching of Holl in the modified Maus method for more efficiently controlling the air fuel ratio, so as to improve the exhaust gas pollution control.

Art Unit: 3748

Regarding claim 5, Maus further discloses that selecting a catalytic converter design including the catalyst so that the determining whether the operation of said catalytic converter is malfunctioning is more accurately detected (see col. 5, lines 8-18).

*Claim 19 is rejected under 35 U.S.C. 103(a) as being unpatentable over Maus et al. (US patent 5,610,844) in view of Pelters et al. (US Patent 5,435,172) as applied to claim 11 above, and further in view of Fujimoto et al. (US Patent 5,591,905).*

The modified Maus method discloses all the claimed limitations as discussed in claim 11 above, however, fails to disclose that determining whether the condition of said catalyst is malfunctioning are modified based upon monitoring an output of one or more oxygen sensors while controlling temporary changes in gas conditions to provide detectable differences between the oxygen sensors outputs during said modifying the rate of catalyst heating following cold engine starting. Fujimoto teaches determining the condition of said catalyst is malfunctioning based upon monitoring an output of one or more oxygen sensors while controlling temporary changes in gas conditions to provide detectable differences between the oxygen sensors outputs during said modifying the rate of catalyst heating following cold engine starting (see Figure 9).

It would have been obvious to one having ordinary skill in the art at the time the invention was made, to have utilized the teaching of Fujimoto in the modified Maus method since the use thereof would have provided an effective means to monitor a working condition of the catalyst.

*Claim 27 is rejected under 35 U.S.C. 103(a) as being unpatentable over Fujimoto et al. (US Patent 5,591,905) Pelters et al. (US Patent 5,435,172) as applied to claim 24 above, and further in view of Yamashita et al. (US Patent 5,727,383).*

The modified Fujimoto method discloses all the claimed limitations as discussed in claim 24 above, however, fails to disclose determining initiation of catalyst chemical exothermic activity is provided by detecting changes in exhaust gases' concentrations from gas sensors positioned to detect gases exiting said catalytic converter. Yamashita teaches that catalyst chemical exothermic activity is determining by detecting changes in exhaust gases' concentrations from gas sensors positioned to detect gases exiting said catalytic converter (see col. 9, lines 39-50).

It would have been obvious to one having ordinary skill in the art at the time the invention was made, to have utilized the teaching of Yamashita in the modified Fujimoto method, since the use thereof would have provided an effective means for determining the catalyst activation condition.

*Claims 32, 33 are rejected under 35 U.S.C. 103(a) as being unpatentable over Maus et al. (US patent 5,610,844) in view of Pelters et al. (US Patent 5,435,172) as applied to claim 20 above, and further in view of Yamashita et al. (US Patent 5,727,383).*

Regarding claims 32, 33, the modified Maus method discloses all the claimed limitations as discussed in claim 20 above, however, fails to disclose determining initiation of catalyst chemical exothermic activity is provided by detecting changes in exhaust gases' concentrations from gas sensors positioned to detect gases exiting said catalytic converter. Yamashita teaches

Art Unit: 3748

that catalyst chemical exothermic activity is determining by detecting changes in exhaust gases' concentrations from gas sensors positioned to detect gases exiting said catalytic converter (see col. 9, lines 39-50).

It would have been obvious to one having ordinary skill in the art at the time the invention was made, to have utilized the teaching of Yamashita in the modified Maus method since the use thereof would have provided an effective means for determining the catalyst activation condition.

#### *Allowable Subject Matter*

Claims 10, 17, 21-23, 34, 35, 37, 41, 47-49 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

#### *Response to Arguments*

Applicant's arguments filed on 2/20/07 have been fully considered but they are moot in view of the new ground(s) of rejection. In claim 50, Applicant has argued that the Yamashita reference fails to disclose diagnosing a catalyst by detecting catalyst temperature changes.

In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

***Conclusion***

Any inquiry concerning this communication from the examiner should be directed to Examiner Diem Tran whose telephone number is (571) 272-4866. The examiner can normally be reached on Monday -Friday from 8:00 a.m.- 6:00p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Thomas E. Denion, can be reached on (571) 272-4859. The fax number for this group is (571) 273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

Art Unit: 3748

applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 800-786-9199 (toll-free).

DT



Diem Tran  
Patent Examiner  
Art unit 3748



THOMAS DENION  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 3700